

Abstract

As management of respiratory distress syndrome (RDS) advances, clinicians must continually revise their current practice. We report the fourth update of “European Guidelines for the Management of RDS” by a European panel of experienced neonatologists and an expert perinatal obstetrician based on available literature up to the end of 2018. Optimising outcome for babies with RDS includes prediction of risk of preterm delivery, need for appropriate maternal transfer to a perinatal centre and timely use of antenatal steroids. Delivery room management has become more evidence-based, and protocols for lung protection including initiation of CPAP and titration of oxygen should be implemented immediately after birth. Surfactant replacement therapy is a crucial part of management of RDS, and newer protocols for...
its use recommend early administration and avoidance of mechanical ventilation. Methods of maintaining babies on non-invasive respiratory support have been further developed and may cause less distress and reduce chronic lung disease. As technology for delivering mechanical ventilation improves, the risk of causing lung injury should decrease, although minimising time spent on mechanical ventilation using caffeine and, if necessary, postnatal steroids are also important considerations. Protocols for optimising general care of infants with RDS are also essential with good temperature control, careful fluid and nutritional management, maintenance of perfusion and judicious use of antibiotics all being important determinants of best outcome.

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Introduction

Respiratory distress syndrome (RDS) remains a significant problem for preterm babies, although management has evolved gradually over the years resulting in improved survival for the smallest infants but with unacceptable rates of bronchopulmonary dysplasia (BPD) at least in part due to reduced use of postnatal steroids [1]. Since 2006, a panel of neonatologists from many European countries have met yearly to review the most recent literature and develop consensus recommendations for optimal management of preterm babies with or at risk of RDS in order to achieve the best outcomes for neonates in Europe. The “European Consensus Guidelines for the Management of RDS” were first published in 2007 and have been updated in 2010, 2013 and 2016 and are endorsed by the European Society for Paediatric Research [2–5]. The Guidelines have been translated into several languages including Chinese [6], and although primarily intended for use in Europe, they contain recommendations that potentially could be used anywhere provided clinicians have access to all the resources and experience needed to provide modern neonatal intensive care.

Although primarily a disorder of surfactant deficiency resulting in pulmonary insufficiency from soon after birth, the classical clinical description of RDS has changed as treatments have evolved over the years. Radiographic appearances of “ground glass with air bronchograms” are rarely seen today due to early surfactant therapy and early continuous positive airway pressure (CPAP). Definitions based on blood gas analyses are also increasingly redundant as clinicians have moved towards a more pragmatic approach of giving surfactant therapy based on clinical assessment of work of breathing and inspired oxygen requirement very early in the clinical course. Knowing how many babies have genuine RDS is therefore difficult. Of the 8,156 babies from Europe for whom data were submitted to the Vermont Oxford Network during 2017, RDS was coded for about 80% of babies born at 28 weeks’ gestation increasing to 90% at 24 weeks’ gestation [7]. Surfactant was given to 55% of very low birth weight (VLBW) infants, 27% in the delivery room and 29% beyond 2 h of age, suggesting that prophylactic surfactant is still being used. Chronic lung disease (or BPD) was coded for 18% of VLBW infants in Europe.

The aim of management of RDS is to provide interventions to maximise survival whilst minimising potential adverse effects including BPD. Many strategies and therapies for prevention and treatment of RDS are being tested in clinical trials, and many new studies have been incorporated into updated systematic reviews. These Guidelines update the previous four guidelines after critical examination of the most recent evidence available in late 2018. We have again used a format of summarising management strategies followed by evidence-based recommendations according to the GRADE system to reflect the authors’ views on the strength of evidence supporting each of the recommendations [8]. Quality of evidence and strength of recommendations are summarised in Table 1. Summary of recommendations is shown in Appendix 1.

Prenatal Care

Lack of antenatal care increases risk of death or severe morbidity [9]. There are no generally effective means to prevent spontaneous or elective preterm births. However, in pregnant women at risk of spontaneous preterm birth due either to previous preterm birth or where a shortened cervix has been identified, use of progesterone is associated with reduced preterm delivery rates and reduced neonatal mortality [10, 11]. Routine cervical length measurements may be advised in populations at risk of preterm birth but not in populations with an overall low risk and/or very low incidence of short cervix [12]. Cervical cerclage may also reduce preterm birth in high-risk singleton pregnancies [13]. The present challenge is to identify high-risk pregnancies early and aim for effective prevention of preterm birth.

Interventions to improve outcome and prevent RDS begin before birth. There is often warning of impending preterm delivery, and in these cases a need to consider interventions to prolong gestation or reduce risk of an adverse outcome by “preparing” the fetus. Cervical length
measurement possibly in combination with a biomarker may determine which women are actually at risk of delivery within 7 days and allow more judicious use of antenatal treatments [14]. Extremely preterm babies should, if possible, be transported in utero to tertiary centres where appropriate skills are available; best outcomes are achieved for babies born in centres with a high throughput of VLBW babies [15]. In cases of prenatal pre-labour rupture of membranes (PPROM), antibiotics can delay preterm delivery and reduce neonatal morbidity, although co-amoxiclav should be avoided because of its association with increased risk of necrotising enterocolitis (NEC) [16]. Magnesium sulphate (MgSO₄) given to women with imminent preterm delivery reduces cerebral palsy at 2 years of age by about 30% [17], although longer-term benefits are less clear [18]. Tocolytic drugs can be used in the short-term to delay birth, permit safe transfer to a perinatal centre and allow prenatal corticosteroids time to take effect, although tocolytics have no direct beneficial effect on the fetus [19]. Given their limited value, only drugs that are safe for the mother should be considered, that is oxytocin antagonists or Ca-channel blockers [20].

A single course of prenatal corticosteroids given to mothers with anticipated preterm delivery improves survival, reduces RDS, NEC and intraventricular haemorrhage and does not appear to be associated with any significant maternal or short-term fetal adverse effects [21]. Prenatal corticosteroid therapy is recommended in all pregnancies with threatened preterm birth before 34 weeks’ gestation where active care of the newborn is anticipated. Although there are limited RCT data in babies at <25 weeks’ gestation, observational studies suggest that antenatal corticosteroids, together with other active management practices, reduce mortality at gestations as low as 22 weeks [22]. In pregnancies between 34 and 36 weeks’ gestation, prenatal steroids also reduce risk of short-term respiratory morbidity but not mortality, and there is increased risk of neonatal hypoglycaemia [23]. Long-term follow-up data are broadly reassuring, albeit sketchy [24], and given the potential for long-term side-effects, steroids are not currently recommended for women in spontaneous preterm labour after 34 weeks [25]. When given before elective Caesarean section (CS) up to 39 weeks, they reduce risk of admission to NICU, although the number needed to treat is >20 and follow-up data on term babies exposed to antenatal steroids are lacking [26]. The optimal treatment to delivery interval is more than 24 h and less than 7 days after the start of steroid treatment; beyond 14 days, benefits are diminished. Beneficial effects of the first dose of antenatal steroid start within a few hours, so advanced dilatation should not be a reason to refrain from therapy and the same may hold for MgSO₄ [27]. There is still debate as to whether steroids should be repeated 1 or 2 weeks after the first course for women with threatened preterm labour. A repeat course reduces the risk of respiratory support. However, it decreases fetal growth, and repeat doses do not reduce mortality or other serious health outcomes. No effect on neurosensory disability in follow-up has been observed, but data on potential longer-term adverse effects are lacking [28, 29]. WHO recommends that a single repeat course of steroids may be considered if preterm birth does not occur within 7 days after the initial course and there is a high risk of preterm birth in the next 7 days [30]. It is unlikely that repeat courses given after 32 weeks’ gestation improve outcome [31].

Steroids are potent drugs with many side effects, but when given appropriately they improve outcome. If not, then side effects, such as impaired fetal and placental growth, apoptosis in the brain and increased infection, may prevail. Use of steroids should be reduced by adequate preterm birth risk assessment and avoidance of unnecessary early elective CS. In some cases when an early CS is needed, establishment of fetal lung maturity may be better than giving steroids to all women [32]. There is little evidence that delivering preterm infants by CS rather than allowing vaginal delivery improves outcome.

### Table 1. Representations of quality of evidence and strength of recommendations

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<th>Quality of evidence</th>
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### Recommendations

1. Mothers at high risk of preterm birth <28–30 weeks’ gestation should be transferred to perinatal centres with experience in management of RDS (C1).
2. Clinicians should offer a single course of prenatal corticosteroids to all women at risk of preterm delivery from when pregnancy is considered potentially viable until 34 weeks’ gestation ideally at least 24 h before birth (A1).
3. A single repeat course of steroids may be given in threatened preterm birth before 32 weeks’ gestation if the first course was administered at least 1–2 weeks earlier (A2).
4. MgSO₄ should be administered to women in imminent labour before 32 weeks’ gestation (A2).
Delivery Room Stabilisation

European Resuscitation Guidelines should be used to deal with asphyxiated babies with hypoxia who need urgent airway opening manoeuvres and lung inflation to restore cardiac output [33]. Preterm babies with RDS will usually try to breathe during transition at birth [34] although they may subsequently struggle to maintain adequate alveolar aeration. "Supporting transition" rather than "resuscitation" is therefore in most cases the preferred term in RDS management, and infants should be allowed to gently transition whilst being exposed to a minimum number of interventions that may cause harm [35]. Birth is defined when the fetus is completely expelled from the uterus, and this is when all timing should start.

Timing of umbilical cord clamping is an important first step. Clamping the cord before initiation of respiration results in an acute transient reduction in left atrial filling leading to an abrupt drop in left ventricular output. Delayed “physiological” clamping after lung aeration results in much smoother transition and less bradycardia in animal models [36]. The Australian Placental Transfusion Study randomised 1,600 babies less than 30 weeks’ gestation to immediate (within 10 s) or delayed clamping (after 60 s or more) [37]. However, even such a large study was insufficiently powered to determine a difference in the primary outcome of death or major morbidity. Combining these data in a meta-analysis with other trials shows a significant reduction in in-hospital mortality for preterm infants in whom cord clamping was delayed [38]. Specialist resuscitation equipment designed to maintain body temperature makes it feasible to provide advanced resuscitation with the umbilical cord intact [39]. Umbilical cord milking may be an alternative to delayed cord clamping in emergency situations [40]. Two randomised trials including 255 babies <33 weeks’ gestation offered broad reassurance that short-term outcomes are broadly equivalent [41], and one follow-up study suggested better cognitive and language scores in those randomised to cord milking [42]. However, animal studies show that cord milking causes considerable haemodynamic disturbance, and a recent clinical trial has shown quadrupling of the incidence of severe intraventricular haemorrhage with cord milking compared to delayed cord clamping in preterm infants calling into question the safety of this procedure [43]. After birth, the baby should be placed in a clear polythene bag and under a radiant warmer to maintain body temperature (see below).

Stimulation of the infant during stabilisation helps with establishing regular respirations [44]. Spontaneously breathing babies should be started on CPAP rather than intubated in the delivery room to reduce risk of BPD [45]. Routine suctioning of the airway before starting CPAP is not beneficial [46]. The ideal level of CPAP is unknown, but most studies have used levels of at least 6 cm H2O with some as high as 9 cm H2O. Using higher pressures up to 20–25 cm H2O for a period of around 10–15 s at initiation of respiration (sustained inflation or SI) has been studied as a way of avoiding intubation; however, clinical trials have been disappointing, with no apparent value of SI [47]. The Sustained Inflation of Infants Lung (SAIL) trial was suspended early because of an excess of early deaths in infants receiving the intervention [48], and until further analysis of available data, SI should only be used in clinical trials. To provide measurable CPAP from birth, the T-piece device is a better choice than a self-inflating anaesthetic bag [49], and the initial interface can either be a face mask or a short nasal prong [50]. Provision of CPAP alone is ideal, and routine use of positive pressure breaths should be discouraged [51], although gentle positive pressure ventilation may be required for babies who remain apnoeic or bradycardic. Heating and humidification of gases used for stabilisation is ideal in terms of preventing heat loss [52]. Immediate wrapping in a polythene bag under a radiant warmer also reduces heat loss [53], and increasing the environmental temperature in the delivery room to around 26°C is also recommended for babies less than 28 weeks [33]. Heated, humidified oxygen delivered by high-flow nasal cannula (HFNC) has also been studied as a primary mode of respiratory support but was inferior to CPAP in terms of failure, with babies randomised to HFNC often needing rescue with CPAP to prevent intubation [54].

Heart rate assessment is important in determining infant well-being during transition. Heart rate <100/min for >2 min in the first 5 min after birth is associated with 4.5-fold increase in mortality [55]. Monitoring heart rate can be done by stethoscope, electrocardiography, pulse oximetry or photoplethysmography. Pulse oximetry signals are often delayed for up to a minute. Auscultation with a stethoscope may not be as accurate as ECG in determining heart rate during transition; however, for most units at present ECG
is not universally available [56]. Provided heart rate is satisfactory, the aim is, where possible, to mimic normal transitional saturations measured at the right wrist by pulse oximetry with saturations gradually rising from about 60–90% over the first 10 min after birth. Blended air/oxygen should therefore be available. For term babies requiring resuscitation, there is reduced mortality when using fraction of inspired oxygen (FiO₂) 0.21 rather than 1.0 [57]. There is evidence of increased oxidative stress when starting preterm infants in 100% oxygen; however, there is still uncertainty about the longer-term effects of high or low oxygen exposure at birth in preterm infants [58]. Observational studies have raised concerns about starting extremely preterm infants in air because of poorer recovery from bradycardia and increased mortality in the smallest babies [59]. Moreover, the combination of bradycardia (<100/min) and lower SpO₂ (<80%) in the first 5 min is associated with death or intracranial haemorrhage [60]. Further trials are underway to resolve this issue. Presently, it is known that when titrating oxygen, most infants end up in about 30–40% oxygen by 10 min, so we believe it is reasonable to start preterm infants <28 weeks in about 30% oxygen until more evidence is available [61]. For those between 28 and 31 weeks’ gestation, 21–30% oxygen is recommended [62].

Only a minority of babies should require intubation for stabilisation. If intubation is required, the correct placement of the endotracheal tube can be quickly verified clinically by auscultation and using a colorimetric CO₂ detection device before administering surfactant which in most circumstances can be done prior to radiographic confirmation of RDS.

**Recommendations**

1. Delay clamping the umbilical cord for at least 60 s to promote placento-fetal transfusion (A1).
2. In spontaneously breathing babies, stabilise with CPAP of at least 6 cm H₂O via mask or nasal prongs (B1). Do not use SI as there is no long-term benefit (B1). Gentle positive pressure lung inflations with 20–25 cm H₂O peak inspiratory pressure (PIP) should be used for persistently apnoeic or bradycardic infants.
3. Oxygen for resuscitation should be controlled using a blender. Use an initial FiO₂ of 0.30 for babies <28 weeks’ gestation and 0.21–0.30 for those 28–31 weeks, 0.21 for 32 weeks’ gestation and above. FiO₂ adjustments up or down should be guided by pulse oximetry (B2).
4. For infants <32 weeks’ gestation, SpO₂ of 80% or more (and heart rate >100/min) should be achieved within 5 min (C2).
5. Intubation should be reserved for babies not responding to positive pressure ventilation via face mask or nasal prongs (A1). Babies who require intubation for stabilisation should be given surfactant (B1).

**Surfactant Therapy**

Surfactant therapy plays an essential role in management of RDS as it reduces pneumothorax and improves survival. However, intratracheal administration requires skill and may cause harm, particularly if uncontrolled positive pressure is applied to the newborn lung. Prior to 2013, prophylactic surfactant was recommended for the smallest babies as it improved survival in clinical trials from the pre-CPAP era [63]. After 2013, with increased use of antenatal steroids and early initiation of CPAP, outcomes are best if surfactant is reserved for infants showing clinical signs of RDS, and for the smallest infants early initiation of CPAP may avoid the harmful effects of intubation and mechanical ventilation (MV) during the transitional phase. The overall aim is to avoid invasive MV if possible whilst endeavouring to give surfactant as early as possible in the course of RDS once it is deemed necessary.

**Surfactant Administration Methods**

Surfactant administration requires an experienced practitioner with intubation skills and ability to provide MV if required. Most surfactant clinical trials to date have used tracheal intubation, bolus administration with distribution of surfactant using intermittent positive pressure ventilation, either manually or with a ventilator, followed by a period of weaning from MV as lung compliance improves. The IN-SUR-E technique allows surfactant to be given without ongoing MV and was endorsed previously as it may reduce BPD [64]. In the last decade, new methods for administering surfactant using a fine catheter placed in the trachea under direct or video-laryngoscopy, with the infant spontaneously breathing on CPAP, have been described, thereby avoiding exposure to positive pressure ventilation. Specialised catheters designed for this method, known as less invasive surfactant administration (LISA), are commercially available. Since the 2016 Guideline, there have been further randomised trials and meta-analyses comparing these methods. These suggest that LISA is superior in terms of reducing need for MV and the combined outcome of death or BPD [65]. However, these meta-analyses include some studies that are open to bias and might not be suitable for inclusion in a more rigorous systematic review. Nevertheless, studies of higher quality, such as those from
the German Neonatal Network, all show trends for improvement favouring LISA, and it is reasonable to recommend it as the optimal method of surfactant administration for spontaneously breathing babies who are stable on CPAP. Some units also employ strategies of prophylactic LISA for the smallest babies, although this has not yet been tested in randomised controlled trials [66]. One of the advantages of LISA is that the temptation to continue MV following surfactant is removed. This makes the issue of sedation for the procedure more complex. It is considered good practice to avoid discomfort during elective intubation by using a sedative or analgesic such as fentanyl, propofol or midazolam (see later). Using low-dose sedation prior to laryngoscopy for the LISA procedure is technically feasible, will make the baby less uncomfortable but will increase the risk of CPAP failure [67]. At present, there is no clear answer about whether to sedate routinely for LISA, and individual neonatologists must decide for themselves.

Surfactant delivered by nebulisation would be truly non-invasive. With development of vibrating membrane nebulisers, it is possible to atomise surfactant, although only one clinical trial has shown that nebulising surfactant when on CPAP reduces need for MV compared to CPAP alone, and this finding was limited to a subgroup of more mature infants of 32–33 weeks [68]. Further trials of nebulisation are ongoing. Surfactant has also been administered by laryngeal mask airway, and one clinical trial shows that this reduces need for intubation and MV [69]. However, the size of currently available laryngeal masks limits use of the method to relatively mature preterm infants, and routine use for smaller infants at greatest risk of BPD is not recommended [70]. Pharyngeal deposition of surfactant at birth is also currently being tested in clinical trials.

**When to Treat with Surfactant?**

If intubation is required as part of stabilisation, then surfactant should be given immediately, as the main purpose of avoiding surfactant prophylaxis is to avoid intubation. Many preterm infants will transition successfully on CPAP. Those with RDS will develop progressively worsening lung disease, clinically presenting as increased work of breathing, sternal recession and increasing oxygen requirements to maintain normal saturations. Spontaneous recovery usually begins after 48–72 h, and some infants with milder disease may manage without surfactant, thereby avoiding the discomfort of laryngoscopy and potential deleterious effects of intubation. Early trials showed that surfactant given earlier in the course of disease works better than later in terms of reducing air leaks [71] and avoiding MV if the IN-SUR-E technique is used [72]. This creates a dilemma for neonatologists. At present, severity of RDS can only be determined clinically using a combination of FiO2 to maintain normal saturations, coupled with judgement of work of breathing and degree of aeration of the lungs on chest X-ray, all of which can be influenced by CPAP. Lung ultrasound may be a useful adjunct to clinical decision making in experienced hands, with RDS lungs having a specific appearance that can be differentiated from other common neonatal respiratory disorders [73] and it has potential to reduce X-ray exposure [74]. Rapid bedside tests to accurately determine presence or absence of surfactant in gastric aspirate are currently being tested in clinical trials [75]. The 2013 Guideline suggested that surfactant should be administered when FiO2 >0.30 for very immature babies and >0.40 for more mature infants based on thresholds used in the early clinical trials. Observational studies have confirmed that FiO2 exceeding 0.30 in the first hours after birth in babies on CPAP is a reasonably good test for predicting subsequent CPAP failure [76]. Therefore it is recommended that the threshold of FiO2 >0.30 is used for all babies with a clinical diagnosis of RDS, especially in the early phase of worsening disease.

More than one dose of surfactant may be needed. Clinical trials comparing multiple doses to a single dose showed fewer air leaks, although these were conducted in an era when babies were maintained on MV. Today many infants are maintained on non-invasive ventilation even when surfactant is required. Need for re-dosing can be minimised by using the larger dose of 200 mg/kg of porcactant alfa [77]. Prediction of IN-SUR-E failure using clinical criteria and blood gases could define a population that would be reasonable to maintain on MV for a while after surfactant has been given [78, 79].

**Surfactant Preparations**

Surfactants currently available in Europe are shown in Table 2. Synthetic surfactants containing both SP-B and SP-C analogues are also currently under evaluation in clinical trials [80]. Animal-derived surfactants have been compared in systematic reviews [77]. Most of the head-to-head trials show that surfactants have similar efficacy when used in similar doses; however, there is a survival advantage when 200 mg/kg of porcactant alfa is compared with 100 mg/kg of beractant or 100 mg/kg porcactant alfa to treat RDS [77]. Surfactant combined with budesonide significantly reduces BPD [81], although further larger studies with long-term follow-up will be needed before this can be recommended [82].
**Recommendations**

1. Babies with RDS should be given an animal-derived surfactant preparation (A1).
2. A policy of early rescue surfactant should be standard (A1), but there are occasions when surfactant should be given in the delivery suite, such as when intubation is needed for stabilisation (A1).
3. Babies with RDS should be given rescue surfactant early in the course of the disease. A suggested protocol would be to treat babies who are worsening when FiO₂ > 0.30 on CPAP pressure of at least 6 cm H₂O (B2).
4. Poractant alfa at an initial dose of 200 mg/kg is better than 100 mg/kg of poractant alfa or 100 mg/kg of beractant for rescue therapy (A1).
5. LISA is the preferred mode of surfactant administration for spontaneously breathing babies on CPAP, provided that clinicians are experienced with this technique (B2).
6. A second and occasionally a third dose of surfactant should be given if there is ongoing evidence of RDS such as persistent high oxygen requirement and other problems have been excluded (A1).

**Oxygen Supplementation beyond Stabilisation**

In the last 3 years, little has changed in terms of refining previous recommendations for oxygen saturation targeting based on data from the NeOProm collaboration [83]. Targeting lower saturations (85–89 vs. 91–95%) reduces risk of severe retinopathy of prematurity (ROP) but at expense of increasing mortality (relative risk [RR] 1.17; 95% confidence interval [CI] 1.04–1.31) and NEC. Recommendations have therefore remained the same, targeting saturations between 90 and 94% by setting alarm limits between 89 and 95% although it is acknowledged that ideal oxygen saturation targets are still unknown [84]. Episodes of intermittent hypoxaemia and bradycardia are associated with increased risk of late death or disability at 18 months, and these should be avoided if possible [85]. Recent targeting of higher saturations is associated with an increase in need for treatment for ROP [86], and in Sweden the effect of increased risk of ROP has negated the sensitivity of poor postnatal growth for prediction of ROP [87]. Servo-controlled oxygen algorithms are now sufficiently developed to maintain saturations within targeted range more of the time both with ventilated infants and those receiving non-invasive respiratory support, although no studies have been sufficiently powered to determine if there are any beneficial effects on outcome [88, 89].

**Recommendations**

1. In preterm babies receiving oxygen, the saturation target should be between 90 and 94% (B2).
2. Alarm limits should be set to 89 and 95% (D2).

**Non-Invasive Respiratory Support**

Recently, it has been emphasised that preterm infants should be managed without MV where possible and if ventilation is needed to minimise the time an endotracheal tube is used. Use of non-invasive respiratory support has increased with an expansion of methods to achieve it, but there is often a paucity of evidence to determine which method is most effective. CPAP has been used for over 40 years with early trials showing that it improves oxygenation, regulates breathing and is effective at reducing reintubation following extubation [90]. CPAP is now recommended as the optimal first mode of respiratory support although other modes of non-invasive support from birth are being tested in clinical trials [91].

CPAP involves delivering gas, ideally heated and humidified, with a measurable and controllable pressure. This pressure is transmitted using an interface such as short soft nasal prongs or mask connected tightly to the baby’s face creating a seal. Pressures conveyed to the nasopharynx are typically kept between 5 and 9 cm H₂O providing several theoretical benefits including splinting the upper airway, maintaining lung expansion and preventing end-expiratory alveolar collapse [92]. Higher pressures improve oxygenation but potentially increase risk of air leak. Using an underwater seal to generate the pressure, or “Bubble CPAP,” generates small fluctuations around the set pressure which some believe offers additional advantage [93]. Using a flow driver to generate CPAP has the theoretical advantage of offloading expira-
Consensus Guidelines on the Management of RDS

Bi-level CPAP or BIPAP is a variant of CPAP that uses small pressure differences between inspiratory and expiratory phases. These are typically delivered through CPAP flow driver devices and generate low PIP of about 9–11 cm H2O generally using fairly low rates of around 20 and long inspiratory times of about 0.8 s. Although popular, there is no evidence that BIPAP confers any advantage over CPAP, and any clinical differences may simply reflect a higher overall mean airway pressure [97]. Modern ventilators with flow and pressure sensors also provide nasal intermittent positive pressure ventilation, or NIPPV, using pressures similar to those used for invasive MV. These breaths can be synchronised with breathing efforts using either an abdominal capsule or by detection of small pressure changes in the circuit. Recent meta-analyses of studies where NIPPV has been used as an alternative to CPAP following extubation show that it reduces need for re-ventilation and air leaks but without any reduction in BPD [98]. Synchronisation of nasal ventilation may result in the best outcomes. There is insufficient evidence to recommend NIPPV as primary mode of respiratory support in the delivery room. Nasal interfaces have also been used with high frequency oscillatory ventilation (HFOV), but results have been inconclusive [99, 100].

Heated humidified HFNC are increasingly used as an alternative to CPAP. With HFNC, heated/humidified gas is delivered to the nostrils with nasal catheters that are specifically designed not to occlude the nostrils, typically at flows of between 2 and 8 L/min, with weaning of flow rate determined clinically by FiO2 remaining low and judgement of work of breathing [101]. Whilst an amount of pressure is invariably generated within the nasopharynx, the primary mode of action probably relates to gas conditioning and nasopharyngeal dead space CO2 washout. In clinical trials, HFNC is broadly equivalent to CPAP for babies >28 weeks coming off MV with greater ease of use and less nasal trauma, although there is less evidence for smaller babies [102]. Centres familiar with the use of HFNC argue that with experience it can be used for initial support even in some of the smallest babies [103, 104]. In the HIPSTER trial, HFNC was compared with CPAP as a primary mode of support in the delivery room for infants >28 weeks, but the trial was stopped early because more infants started on HFNC needed rescue with CPAP [54]. At present, CPAP remains the preferred initial method of non-invasive support.

There are likely to be further refinements of non-invasive support over the next few years. Better synchronisation of ventilator support with the baby’s own breathing efforts can be achieved using neurally adjusted ventilator assistance, and large clinical trials of these newer modes of support are urgently needed [105].

**Recommendations**

1. CPAP should be started from birth in all babies at risk of RDS, such as those <30 weeks’ gestation who do not need intubation for stabilisation (A1).
2. The system delivering CPAP is of little importance; however, the interface should be short binastral prongs or mask with a starting pressure of about 6–8 cm H2O (A2). Positive end-expiratory pressure (PEEP) can then be individualised depending on clinical condition, oxygenation and perfusion (D2).
3. CPAP with early rescue surfactant is considered optimal management for babies with RDS (A1).
4. Synchronised NIPPV, if delivered through a ventilator rather than BIPAP device, can reduce extubation failure but may not confer long-term advantages such as reduction in BPD (B2).
5. During weaning, HFNC can be used as an alternative to CPAP for some babies with the advantage of less nasal trauma (B2).

**MV Strategies**

Despite best intentions to maximise non-invasive support, many small infants will initially require MV, and about half of those less than 28 weeks’ gestation will fail their first attempt at extubation with these having higher mortality and morbidity [106]. The aim of MV is to provide “acceptable” blood gases whilst avoiding lung injury which is typically caused by too high or too low pressure delivery. The principle of MV is to inflate atelectatic lung, optimising lung volume for even distribution of tidal volumes at pressures set to prevent atelectasis and over-distension. Over-inflation increases risk of air leaks such as pneumothorax and pulmonary interstitial emphysema. Ventilation at too low a pressure risks areas of lung becoming repeatedly atelectatic during expiration, which can generate inflammation.

Modern ventilators with flow sensors can reasonably accurately measure gas volumes entering and leaving the en-
be used [113]. Neurally adjusted ventilator assistance ventilation mode is used within an individual unit, it is important that all staff are familiar with its use. Once stabilised on MV and spontaneous breathing is present, clinicians should immediately consider strategies for weaning. There is no evidence favouring any particular weaning protocol [117]. Hypocarbia and severe hypercarbia are associated with worse outcomes and should be avoided by regular or continuous assessment of CO₂. VTV mode enables automatic weaning of PIP in real-time as compliance improves. Some babies will only require ventilation for a very short period of time, particularly those with RDS following surfactant therapy who can be rapidly weaned to low ventilator settings. Early extubation of even the smallest babies is encouraged provided it is judged clinically safe [118]. Infant’s size, absence of growth restriction, FiO₂ and blood gases are all determinants of extubation success [106]. Keeping small babies on low-rate MV for longer does not improve chance of extubation success [119]. Extubation may be successful from 7 to 8 cm H₂O MAP on conventional modes and from 8 to 9 cm H₂O CDP on HFOV. Spontaneous breathing tests to predict extubation readiness are sometimes used but there is little evidence to show they are effective [120]. Extubating to a relatively higher CPAP pressure of 7–9 cm H₂O or NIPPV will improve chance of success [121]. Several other strategies have been used specifically to shorten duration of MV including permissive hypercarbia, caffeine therapy, postnatal steroid treatment and avoiding over-use of sedation.

### Permissive Hypercarbia

Targeting arterial CO₂ levels in the moderately hypercarbic range is an accepted strategy to reduce time on MV [122]. The PHELBI trial explored tolerating even higher PaCO₂ up to about 10 kPa compared to 8 kPa in preterm babies <29 weeks for the first 14 days. Analysis was performed on 359 of a planned 1,534 infants after the study was stopped early, and there was no difference in the primary outcome of death or BPD but trends to worse outcomes in the higher target group [123]. Follow-up of this cohort and others suggests no long-term adverse sequelae of permissive hypercarbia and it is therefore reasonable to allow moderate elevation of PaCO₂ during weaning provided the pH is acceptable [124].

### Caffeine Therapy

Optimising success of non-invasive support involves use of caffeine therapy as a respiratory stimulant. Most information about the clinical effects of caffeine comes from the Caffeine for Apnea of Prematurity (CAP) study cohort where 2,006 babies <1,251 g coming off ventilation or with apnoeic episodes were randomised to caffeine or placebo. Caffeine facilitated earlier extubation with reduction in BPD and better neurodevelopmental outcomes at 18 months [125, 126]. In this cohort, at age 11 years the caffeine-treated children had better respiratory function [127] and reduced risk of motor impairment [128]. Caffeine prophylaxis soon after admission has become standard based...
on cohort studies showing that earlier initiation of caffeine is associated with better outcomes [129]; however, a clinical trial of prophylactic caffeine versus placebo was abandoned early because of perceived worse outcome in the caffeine-treated group [130]. The standard dosing regimen of caffeine citrate is loading with 20 mg/kg followed by maintenance of 5–10 mg/kg/day. Higher doses of up to 20 mg/kg/day may be even more effective [131], but this needs further testing in randomised trials as higher doses are also associated with increased risk of cerebellar haemorrhage, hypertonicity and increased seizure burden [132].

Postnatal Steroids

Despite best efforts to optimise use of non-invasive support, some infants will remain on MV with the risk of lung inflammation and increased risk of BPD. Breaking this cycle using systemic corticosteroids is possible, and there are now over 50 randomised controlled trials studying the risks and benefits of various corticosteroid regimens [133, 134]. Dexamethasone increases the chance of successful extubation and reduces BPD but at the expense of increased risk of neurodevelopmental sequelae if used in the first week [133, 135]. We previously recommended that the smallest effective dose should be used and only for babies at highest risk of BPD such as those who remain ventilator-dependent after 1–2 weeks. There is anecdotal evidence that starting doses of dexamethasone as low as 0.05 mg/kg/day might be effective [136, 137] but the Minindex RCT failed to recruit enough participants to confirm this. Low-dose prophylactic hydrocortisone also reduced BPD [138] with improved neurological outcomes in a subgroup of infants of less than 25 weeks’ gestation [139].

Inhaled budesonide seems an obvious logical alternative to systemic steroids. A recent Cochrane review of early inhaled budesonide suggests a reduction in BPD [140]. The NEUROSIS trial specifically designed and powered to answer this question confirmed that prophylactic inhaled budesonide reduces both persistent ductus arteriosus (PDA) and BPD; however, there was a worrying trend towards increased mortality before discharge [141]. Follow-up of the NEUROSIS trial cohort showed no difference in neurodevelopmental outcomes but again raised concerns about excess mortality in infants randomised to receive budesonide [142]. Meta-analysis of 17 trials of early or late inhaled corticosteroids including 1807 babies showed significant reduction in BPD (RR [95% CI] 0.79 [0.68–0.92]) without any increase in mortality (RR [95% CI] 1.04 [0.59–1.68]) offering reassurance that inhaled corticosteroids could be added to current management of developing BPD in preterm infants [140, 143].

Pain and Sedation

Sedation and analgesia are controversial issues in RDS management [144]. The number of painful procedures experienced in the first month of life is associated with lower cognitive development and head circumference at 1 year, although this is unlikely to be direct cause and effect [145]. Whilst the comfort of the baby needs to be considered, there is a tension between appropriate analgesia and the effects of sedation causing harm particularly when there is an emphasis of minimising duration of invasive respiratory support. Laryngoscopy is undoubtedly uncomfortable, but when attempting LISA there is a better chance of achieving a success without sedation [67]. For planned non-urgent intubations, many clinicians prefer to use a combination of a short-acting opiate, muscle relaxant and atropine to maximise comfort [146] and improve chances of successful intubation [147]. Longer-acting muscle relaxants like vecuronium may increase the need for ventilation and should not be used [148]. Routine sedation of ventilated neonates with opiates or midazolam is not supported by evidence [149, 150]. Sucrose analgesia and other non-pharmacological methods may be employed to reduce minor procedural pain [151].

**Recommendations**

1. After stabilisation, MV should be used in babies with RDS when other methods of respiratory support have failed (A1). Duration of MV should be minimised (B2).

2. The primary choice of ventilation mode is at discretion of clinical team; however, if conventional MV is used, targeted tidal volume ventilation should be employed (A1).

3. When weaning from MV, it is reasonable to tolerate a modest degree of hypercarbia provided the pH remains above 7.22 (B2).

4. Caffeine should be used to facilitate weaning from MV (A1). Early caffeine should be considered for babies at high risk of needing MV such as those on non-invasive respiratory support (C1).

5. A short tapering course of low dose or very low dexamethasone should be considered to facilitate extubation in babies who remain on MV after 1–2 weeks (A2).

6. Inhaled budesonide can be considered for infants at very high risk of BPD (A2).

7. Opioids should be used selectively when indicated by clinical judgment and evaluation of pain indicators (D1). The routine use of morphine or midazolam infusions in ventilated preterm infants is not recommended (A1).

Monitoring and Supportive Care

To achieve best outcomes for preterm babies with RDS, optimal supportive care with monitoring physiological variables is important. Oxygen blenders should be avail-
able in the delivery room and in the NICU. Pulse oximetry from birth provides information of response to stabilisation. In the NICU, there should be access to continuous pulse oximetry, ECG monitoring and monitoring of PaCO₂ levels. Detection of exhaled CO₂ can ensure correct placement of endotracheal tubes, and continuous measurement of end-tidal CO₂ also gives useful information showing trends in gas exchange. Umbilical arterial cannulation is indicated if it is anticipated there will be need for regular blood gas analyses. Transcutaneous oxygen and CO₂ monitoring can also be used to access continuous information for trending but can cause skin injury especially in the most immature infants [152]. Methods of monitoring cerebral oxygenation are also available with potential to assess cerebral saturation, but no clear clinical benefit has been identified [153]. Close monitoring of serum electrolytes and haematological values is necessary ideally using micro-sampling techniques. Blood pressure should be recorded by indwelling arterial lines or intermittently using approved oscillometric devices. Around-the-clock access to radiology services and portable ultrasound is also essential as these are often used to confirm RDS diagnosis, exclude air leaks and confirm correct placement of endotracheal tubes and central lines.

**Temperature Control**

Maintaining body temperature between 36.5 and 37.5°C at all times is recommended [33] as hypothermia is associated with worse outcome, although it is unclear if this is direct cause and effect [154]. After birth, immediate wrapping in a polythene bag under a radiant warmer reduces heat loss [53]. Servo-controlled incubators with skin temperature set at 36.5°C decrease neonatal mortality [155]. Following stabilisation, infants should be nursed in incubators with high relative humidity to reduce insensible water losses. For the smallest babies, humidity of 60–80% should be used initially and reduced as skin integrity improves. Kangaroo Mother Care (KMC) is an effective means of maintaining temperature and improving outcomes in lower income settings and is increasingly being used in NICU to maximise maternal-infant bonding even in ventilated babies with the potential for benefits beyond hospital discharge [156, 157].

**Antibiotics**

Antibiotics are often started in babies with RDS until sepsis has been ruled out but policies should be in place to narrow the spectrum and minimise unnecessary exposure. Routine antibiotic prophylaxis may do more harm than good [158]. Guidelines usually offer advice on when to screen for sepsis based on additional risk factors such as maternal chorioamnionitis or early signs of sepsis to ensure that antibiotics are only prescribed for those at greatest risk [159]. It is reasonable not to use routine antibiotics in preterm babies with RDS at low risk such as following planned delivery by elective CS. If screening is necessary, then antibiotics are started empirically whilst waiting for test results. For those who have been started empirically on antibiotics, the shortest possible course should be used and stopping after 36 h is achievable and considered good practice [160].

**Early Fluids and Nutritional Support**

The smallest infants have very high initial transcutaneous losses of water, and water and sodium move from the interstitial to the intravascular compartments making fluid balance challenging. Typically, fluids are initiated at about 70–80 mL/kg/day and adjustments individualised according to fluid balance, weight change and serum electrolyte levels. A modest early postnatal weight loss is normal. Regimens with more restricted fluids have better outcomes with reductions in PDA, NEC and BPD [161]. Delaying introduction of sodium supplementation until beyond the third day or 5% weight loss will also improve outcome [162]. Parenteral nutrition should be started immediately as enteral feeding is initially limited. Early initiation of higher levels of parenteral amino acids results in less postnatal growth failure and an increase in positive protein balance [163]. At least 1.5 g/kg intravenous protein [164] and 1–2 g/kg lipids should be started from day one and increased to a maximum of 3.5 g/kg amino acid [165]. For stable infants, a small amount (0.5–1 mL/kg/h) of breast milk can be started early to initiate enteral feeding [166]. There is no evidence of increased NEC with advancing feeds fairly rapidly up to 30 mL/kg/day in stable VLBW babies [167]. Mother’s milk is the preferred option for initiation of feeding; however, if not available then pasteurised donor breast milk is better than formula for reducing risk of NEC but will result in slower postnatal growth [168].

**Recommendations**

1. Core temperature should be maintained between 36.5 and 37.5°C at all times (C1).
2. Most babies should be started on intravenous fluids of 70–80 mL/kg/day in a humidified incubator, although some very immature babies may need more (C2). Fluids must be tailored individually according to serum sodium levels, urine output and weight loss (D1).
3. Parenteral nutrition should be started from birth. Amino acids 1–2 g/kg/day should be started from day one and quickly built up to 2.5–3.5 g/kg/day (C2). Lipids should be started from day one and built up to a maximum of 4.0 g/kg/day if tolerated (C2).
4. Enteral feeding with mother’s milk should be started from the first day if the baby is haemodynamically stable (B2).
Managing Blood Pressure and Perfusion

Antenatal steroids, delayed cord clamping and avoidance of MV are associated with higher mean blood pressure after birth. Hypotension and low systemic blood flow are associated with adverse long-term outcome, although thresholds for intervention and optimal treatment are unclear [169]. Blood pressure is lower with decreasing gestation and increases gradually over the first 24 h of life but varies widely at each gestational age [170]. Defining hypotension as a mean arterial pressure less than gestational age in weeks is widely accepted; however, many babies with RDS will breach this threshold and there is no evidence that treating “numerically defined” hypotension will influence outcome [169, 171]. Neonatologist-performed functional echocardiography is a useful adjunct to assessment of hypotension which may be related to hypovolaemia, large left-to-right ductal shunts or myocardial dysfunction, although formal governance of training for this skill is needed in Europe [172]. Hypovolaemia is probably over-diagnosed, and administration of saline boluses is associated with poorer outcomes [173]. Dopamine is more effective than dobutamine at increasing blood pressure in hypotensive infants, although dobutamine or epinephrine may be a more rational choice in the setting of reduced ventricular function [174]. Randomised trials exploring thresholds for intervention with inotropes have been unsuccessful due to poor recruitment; however, a recent observational study showed that preterm infants treated for isolated hypotension, defined as mean arterial pressure less than gestational age, had a higher survival rate raising caution about “permissive hypotension” [175]. Hydrocortisone is also a reasonable choice for extremely preterm infants with hypotension, particularly those with documented low serum cortisol [176, 177].

PDA may provide clinical problems for very preterm babies with RDS. All infants start life with an open ductus arteriosus, and most will close spontaneously. Cyclooxygenase inhibitors such as indomethacin or ibuprofen promote ductal closure, although ibuprofen has fewer side effects [178]. Paracetamol can also promote successful ductal closure perhaps with fewer renal side effects than ibuprofen [179]. Meta-analyses of all available studies suggest high-dose oral ibuprofen gives better PDA closure rates than intravenous ibuprofen or indomethacin, although no particular regimen compared with placebo influenced any important long-term outcome [180]. Routine indomethacin or ibuprofen treatment of all infants to promote PDA closure is not considered good practice [181]. Permissive tolerance of PDA is a strategy which is being studied in clinical trials [182]. Surgical ligation of PDA should only be considered if medical therapy has failed and the PDA is causing significant clinical problems [183].

Maintaining a reasonable haemoglobin (Hb) concentration is also important. Randomised trials comparing targeting more restrictive versus more liberal Hb concentrations (about 1–2 g/dL lower) result in reduced need for blood transfusion without affecting hospital outcomes, and recent British Committee for Standards in Haematology based their thresholds on these more restrictive thresholds [184]. However post hoc analysis of long-term follow-up data from one study showed some better cognitive outcomes in those with more liberal Hb thresholds highlighting the need for further studies in this area [185, 186]. It remains unclear whether a liberal or restrictive transfusion policy is best.

**Recommendations**

1. Treatment of hypotension is recommended when it is confirmed by evidence of poor tissue perfusion such as oliguria, acidosis and poor capillary return rather than purely on numerical values C2.

2. If a decision is made to attempt therapeutic closure of the PDA then indomethacin, ibuprofen or paracetamol can be used A2.

3. Haemoglobin (Hb) concentration should be maintained within acceptable limits. Hb thresholds for infants with severe cardiopulmonary disease are 12 g/dL (HCT 36%), 11 g/dL (HCT 30%) for those who are oxygen dependent and 7 g/dL (HCT 25%) for stable infants beyond 2 weeks of age C2.

**Miscellaneous**

Since the 2010 Guidelines, we have included a brief section on aspects of RDS management that arise infrequently. Genetic mutations affecting surfactant systems such as congenital SP-B and ABCA3 deficiency are usually fatal and beyond the scope of this guideline. Surfactant therapy may also be useful in situations where secondary surfactant inactivation occurs such as ventilated babies with severe pneumonia [187], pulmonary haemorrhage [188] or meconium aspiration syndrome [189]. There are no indications for routine or rescue use of inhaled nitric oxide (iNO) in preterm babies [190]. However, iNO continues to be used particularly in the setting of PPROM and documented pulmonary hypertension based on the observation that oxygenation can be acutely improved, although evidence for improved longer term outcomes is weak [191], and there is new evidence of an association between iNO therapy and childhood cancer [192]. Until clinical trials are completed, decisions regarding use of this expensive therapy should be taken on...
a case by case basis and treatment stopped quickly if there is no obvious response.

**Recommendations**

1. Surfactant can be used for RDS complicated by congenital pneumonia (C2).
2. Surfactant therapy can be used to improve oxygenation following pulmonary haemorrhage (C1).
3. Use of iNO in preterm babies should be used with caution and limited to those in clinical studies or as a therapeutic trial when there is severe documented pulmonary hypertension (D2).

**Disclosure Statement**

A European panel of experts was convened under the auspices of the European Society of Paediatric Research (ESPR) to update evidence-based guidelines on the management of RDS. The guidelines were prepared using evidence-based methods as summarised in Table 1. Henry Halliday and Christian Speer are or have been consultants to Chiesi Farmaceutici, Parma, the manufacturer of a leading animal-derived surfactant preparation used to treat RDS and a caffeine product for treatment of apnoea of prematurity. Virgilio Carnielli is a member of the Chiesi Farmaceutici Advisory Board. Henry Halliday and Christian Speer are joint Chief Editors of Neonatology.

**Appendix**

**Summary of Recommendations**

<table>
<thead>
<tr>
<th>Prenatal care</th>
<th>Preterm babies at risk of RDS should be born in centres where appropriate care including MV is available.</th>
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<tbody>
<tr>
<td></td>
<td>Judicious antenatal assessment should include risk of preterm delivery and need for maternal corticosteroids if risk is moderate or high. Tocolytics can be used to allow time for steroids to take effect or for safe transfer where appropriate.</td>
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<td>Magnesium sulphate should be given to mothers with impending preterm delivery.</td>
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<tr>
<th>Delivery room stabilisation</th>
<th>Aim to delay cord clamping at birth by at least 1 min</th>
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<tr>
<td></td>
<td>Stabilise preterm babies (&lt;28 weeks’ GA) in a plastic bag under a radiant warmer to prevent heat loss.</td>
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<td></td>
<td>Gently support breathing using CPAP if possible, and if inflations are needed avoid excessive tidal volumes. Pulse oximetry can help guide heart rate response to stabilisation. Start with 21–30% oxygen for 28–31 weeks’ GA and 30% oxygen for &lt;28 weeks’ GA and titrate up or down as needed according to Spo2 targets. Aim at Spo2 of 80% or more within 5 min.</td>
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<td></td>
<td>Intubation at birth should be considered only for those not responding to the above, although early intubation and surfactant may be required for babies who demonstrate early signs of severe RDS such as chest retractions and high oxygen requirements.</td>
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<tr>
<th>Respiratory support and surfactant</th>
<th>An animal-derived surfactant should be used and given as early as possible in the course of RDS. A treatment threshold of FiO2 0.30 on CPAP pressure of 6 cm H2O seems reasonable. Repeat doses of surfactant may be required if there is ongoing evidence of RDS.</th>
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<td>If possible, administer surfactant using the LISA method but only if the baby is clinically stable on CPAP with worsening signs of RDS and the clinician is experienced in the technique.</td>
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<td></td>
<td>If intubated, babies can often be extubated to CPAP, HFNC or NIPPV immediately following surfactant, and judgement needs to be made if an individual baby will tolerate this.</td>
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<td>For those who require MV, aim to ventilate for as short a time as possible avoiding hyperoxia, hypocarbia and volutrauma. This may be best achieved with volume-targeted ventilation and saturation alarm limits set at 89 and 95%.</td>
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<td></td>
<td>Caffeine therapy should be used routinely to minimise need for ventilation. Babies should be maintained on non-invasive respiratory support in preference to MV if possible. After 1–2 weeks, systemic steroids should be considered to facilitate extubation if the baby remains ventilated.</td>
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<td></td>
<td>In preterm babies receiving oxygen, the saturation target should be between 90 and 94%. To achieve this, suggested alarm limits should be 89 and 95%.</td>
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<tr>
<th>Supportive care</th>
<th>Maintain body temperature at 36.5–37.5°C at all times.</th>
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<tr>
<td></td>
<td>Start parenteral nutrition immediately with amino acids and lipids in initial fluid volumes about 70–80 mL/kg/day for most babies and restrict sodium during the early transitional period.</td>
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<td>Enteral feeding with mothers’ milk should also be started on day one if the baby is stable.</td>
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<td>Antibiotics should be used judiciously and stopped early when sepsis is ruled out.</td>
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<td></td>
<td>Blood pressure should be monitored regularly aiming to maintain normal tissue perfusion, if necessary using inotropes. Haemoglobin should be maintained at acceptable levels.</td>
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<td>Protocols should be in place for monitoring pain and discomfort and consideration given for non-pharmacologic methods of minimising procedural pain and judicious use of opiates for more invasive procedures.</td>
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